

# *Wh*-indefinites in Mandarin: The case of *shenme*

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## Wh-indefinites in Mandarin

- (1) Zhangsan yudao shei / shenme ren liao le yihui  
Zhangsan meet who what person chat ASP a.while  
'Who did Zhangsan meet and chat with for a while?'  
'Zhangsan met someone and chatted with her for a while.  
(I don't know who he met).'
- (2) Zhangsan cang zai nali / shenme difang  
Zhangsan hides in where / what place  
'Where does Zhangsan hide?'  
'Zhangsan hides somewhere. (I don't know where he  
hides).'
- *Wh*-words in Mandarin can have a non-interrogative indefinite reading in addition to its interrogative use (and henceforth *wh*-indefinites).

## Shenme?

- (3) Zhangsan yudao \*san ge shei / san ge shenme ren  
Zhangsan meet three CL who three CL what person  
liao le yihui  
chat ASP a.while  
'What three people did Zhangsan meet and chat with for  
a while?'  
'Zhangsan met three people and chatted with them for a  
while. (I don't know who he met).'
- (4) Zhangsan cang zai \*yi ge nali /  
Zhangsan hides in one CL where /  
yi ge shenme difang  
one CL what place  
'Where does Zhangsan hide?'  
'Zhangsan hides somewhere. (I don't know where he  
hides).'

# What are classifiers in Mandarin?

- (5) a. shu  
book  
'a book / the book / books / the books'

Bare nouns

- b. yi/san      ben shu  
one/three CL book  
'one book / three books'

Non-bare nouns

- ▶ No morphology for singularity/plurality, (in)definiteness
- ▶ Mostly, classifiers are required to count/measure nouns  
↳ bare nouns vs. non-bare nouns

## *Shenme*: bare vs. non-bare forms

- (6) a. Zhangsan mai-le *shenme* shu  
Zhangsan buy-PRF what book  
'Zhangsan bought book(s). (I don't know what).'  
'What book(s) did Zhangsan buy?'

Bare *shenme*

- b. Zhangsan mai-le yi/san ben *shenme* shu  
Zhangsan buy-PRF one/three CL what book  
'Zhangsan bought one book / three books. (I don't know what).'  
'What one book / three books did Zhangsan buy?'

Non-bare *shenme*

- Similarly, *shenme* can appear with and without classifiers  
→ bare *shenme* vs. non-bare *shenme*

# Now ...

- ▶ Treat *shenme* as an epistemic indefinite (henceforth EI)
- ▶ Show the distribution of bare and non-bare *shenme* with respect to the uses identified for EIs

# Epistemic indefinites

- ▶ Having a conventionalized ignorance inference [AP10, AP15]
  - ▶ German *irgendein*, Spanish *algún*, Italian *un qualche*
  - ▶ Mandarin *shenme*

- (7)
- a. *Irgendein* Student hat angerufen. #Rat mal  
some student has called guess PART  
wer?  
who  
'Some student called. (I don't know who).'
  - b. Xiaohong gen (yi ge) *shenme* ren jiehun-le.  
Xiaohong with (one CL) what person marry-PRF  
#Ni cai shi shui? / #Jiushi Xiaoming.  
you guess be who / namely Xiaoming  
'Xiaohong married somebody. (I don't know who).'
  - c. *Somebody* called. Guess who?

# Possible functions of Els

- ▶ Ignorance (more than one alternatives possible)  $\mapsto$  SU, epiU
  - ▶ Unembedded  $\mapsto$  SU
  - ▶ Embedded under epistemic modals  $\mapsto$  epiU
- ▶ Plain negated existentials  $\mapsto$  NPI
- ▶ Free choice (all the alternatives possible)  $\mapsto$  deoFC
- ▶ Co-variation (narrow scope reading) under universal quantifiers  $\mapsto$  co-var

	SU	epiU	NPI	deoFC	co-var
<u>Bare <i>shenme</i></u>	✓	✓	✓	# <sup>1</sup>	✓
<u>Non-bare <i>shenme</i></u>	✓	✓	#	✓	✓
German <i>irgendein</i>	✓	✓	✓	✓	✓
Spanish <i>algún</i>	✓	✓	✓	#	✓
Italian <i>un qualche</i>	✓	✓	#	#	✓

<sup>1</sup> Judgement by [Law19].



## Partial vs. total variation

- (8)
- a. Partial variation:  $\exists x \exists y (\Diamond \phi(x) \wedge \Diamond \phi(y) \wedge x \neq y)$
  - b. Total variation:  $\forall x \Diamond \phi(x)$

## epiU

*Context: John and Mary knew that Peter went on a trip last week, but they did not know where he went. They were talking about where Peter could have gone. John suggested:*

- (9) Ta keneng qu-le (yi ge) Ouzhoude *shenme*  
he possibly go-PRF (one CL) European what  
chengshi.  
city  
'He could have gone to an European city.'

*Context: Mary knew that Peter stayed with a friend during his trip, and Peter only had two overseas friends, one in London and one in Berlin. So, she added:*

- (10) \*Bu dui. Ta zhi keneng qu-le Lundun huo Bolin.  
not right he only possibly go-PRF London or Berlin  
'No, he could only have gone to London or Berlin.'

## NPI

- (11) a. Zhangsan mei mai *shenme* shu.  
Zhangsan NEG buy what book  
'Zhangsan didn't buy any book.' NPI ✓
- b. ?Zhangsan mei mai san ben *shenme* shu.  
Zhangsan NEG buy three CL what book  
# 'Zhangsan didn't buy any three books.' NPI #  
'Zhangsan didn't buy three specific books (and I  
don't know which three). SU ✓

## deoFC

*Context: John and Mary were planning a trip to Europe. John suggested:*

- (12) Women keyi qu \*(yi ge) Ouzhoude *shenme* chengshi.  
we can go one CL European what city  
'We can go to an European city (whichever will work).'

*Context: Mary knew that they could only visit an European city where they had a friend to stay with. Since they only had a friend in London and a friend in Berlin, she added:*

- (13) Bu dui. Women zhi keyi qu Lundun huo Bolin.  
no right we only can visit London or Berlin  
'No, we can only go to London or Berlin.'

[Law19]

## Co-var

- (14) Mei ge ren dou mai-le (yi ben) *shenme* shu.  
every CL person PART buy-PRF one CL what book  
'Everyone bought one book / book(s).'
- ↪ Wide scope reading: There is/are (a) specific book(s)  
bought by all the people, and I don't know which book(s).  
SU ✓
- ↪ Narrow scope reading: Different people bought  
different books. There are at least two different books /  
two combinations of books being bought.  
co-var ✓

## Interim summary

	SU	epiU	NPI	deoFC	co-var	Q
Bare <i>shenme</i>	✓	✓	✓	# <sup>2</sup>	✓	✓
Non-bare <i>shenme</i>	✓	✓	#	✓	✓	✓

- ▶ Form distinction between bare and non-bare *shenme*
  - ▶ NPI only available for bare *shenme*
  - ▶ deoFC only available for non-bare *shenme* [Law19]
- ▶ *Shenme* as both an EI and a question word

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<sup>2</sup>Judgement by [Law19]

# Proposal

- ▶ Team Semantics [AD22, AD23]
  - ▶ Formulas are interpreted with respect to teams (as sets of assignments)
  - ▶ Two-sorted: individuals in  $D$  and possible worlds in  $W$
- ▶ Extending Team Semantics [AD22, AD23] with:
- ▶ Plurality:
  - ▶ Allowing both singular and plural individuals in the domain
  - ▶ Numeral classifiers individuate and count Mandarin nouns in terms of atoms (one, two, ... CL:  $\#x = 1, 2, \dots$ ) [Law19]
- ▶ Questions:
  - ▶ The distinction between declarative and interrogative is captured at the level of contexts (pairs of an initial team and an issue)

# Plurality

## Definition (Pluralized Domain)

Given a domain of individuals  $D$ , the pluralized domain generated by  $D$  is the join semi-lattice  $(\uparrow D, \oplus)$  isomorphic to  $(\mathcal{P}(D) \setminus \{\emptyset\}, \cup)$  with  $D \subseteq \uparrow D$  as set of atoms.

- ▶ In terms of the idempotent, commutative and associative binary operation of summation  $\oplus$ , we can further define a binary relation  $\leq$  for elements in  $\uparrow D$  as follows: for all  $x, y \in \uparrow D$ ,  $x \leq y$  if and only if  $x \oplus y = y$ . Then the sum of  $x$  and  $y$ ,  $x \oplus y$ , is the smallest entity in  $\uparrow D$  which has  $x$  and  $y$  as its parts.
- ▶ For each plural individual  $d \in \uparrow D$ , we denote by  $\text{ATOM}(d)$  the set of atoms  $a$  in  $D$  such that  $a \leq d$  (or equivalently  $d = a \oplus d$ ):

$$\text{ATOM}(d) = \{a \in D : a \leq d\}$$



# Logic

## Definition (Language)

Given a first-order signature  $\sigma$  (composed of predicates  $P^n \in \mathcal{P}^n$  with  $n \in \mathbb{N}$ ), and individual variables  $z_d \in \mathcal{Z}_d$  and world variables  $z_w \in \mathcal{Z}_w$ , the terms and formulas of our language are:

$$t ::= z_d \mid z_w \mid \#z_d$$

$$\phi ::= P(\vec{z}) \mid \phi \wedge \psi \mid \phi \vee \psi \mid \exists_s z \phi \mid \exists_l z \phi \mid \forall z \phi \mid \text{dep}(\vec{z}, y) \mid \text{var}(\vec{z}, y)$$

## Definition (Interpretation of Terms)

$$\text{if } t = z: \quad i(t) = i(z)$$

$$\text{if } t = \#z_d: \quad i(t) = |\text{ATOM}(i(z_d))|$$

## El in proposal by [AD22, AD23]: variation

- ▶ El:  $\lambda P. \exists_s x [P(x, v) \wedge \text{var}(\emptyset, x)]$
- ▶ Core hypothesis in [AD22, AD23]: Els are **strict existentials** triggering the variation atom  **$\text{var}(\emptyset, x)$**

$v$	$x$
$\dots$	$d_1$
$\dots$	$d_2$

Figure: Variation

## My proposal: variation + maximality

- ▶ (Bare) *shenme*:  $\lambda P.\exists_s x[P(x, v) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, v, P)]$
- ▶ Non-bare *shenme*: for example, *three* CL *shenme*,  
 $\lambda P.\exists_s x[P(x, v) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, v, P) \wedge \#x = 3]$
- ▶ The maximality condition:
  - ▶  $\text{max}(x, v, P)$ : the value of  $x$  is maximal with respect to the property  $P$  in the possible world  $v$
- ▶ This derives ...
  - ▶ SU, epiU, co-var (from [AD22, AD23])
  - ▶ NPI for bare rather than non-bare *shenme*

### Definition (Maximality)

$\uparrow M, T \models \text{max}(x, v, P)$  iff for all  $i \in T$ :  $\langle i(x), i(v) \rangle \in I_{\uparrow M}(P)$  and for all  $d \in \uparrow D$ : if  $\langle d, i(v) \rangle \in I_{\uparrow M}(P)$ , then  $d \leq i(x)$ .

# The maximality condition

- (15) a. Zhangsan mai-le *shenme* shu.  
Zhangsan buy-PRF what book  
'Zhangsan bought book(s).' Bare *shenme*
- b. Zhangsan mai-le yi ben *shenme* shu.  
Zhangsan buy-PRF one CL what book  
'Zhangsan bought one book.' Non-bare *shenme*

- Context: You saw Zhangsan coming out from a bookstore with the book *a* on his hand, but you didn't know if he bought another book *b*. In this context, (15-a) is true whereas (15-b) is NOT.

<i>v</i>	<i>x</i>
$w_a$	<i>a</i>
$w_{ab}$	<i>b</i>

<i>v</i>	<i>x</i>
$w_a$	<i>a</i>
$w_{ab}$	$a \oplus b$

(a) Without maximality: (15-b) is true (#)

(b) With maximality: (15-b) is false (✓)

## Deriving NPI: three forms under negation

- (16) Zhangsan mei mai *shenme* shu.  
Zhangsan NEG buy what book  
'Zhangsan didn't buy any book.' NPI  
Bare *shenme*  $\mapsto$  NPI

- (17) ?Zhangsan mei mai yi/liang ben *shenme* shu.  
Zhangsan NEG buy one/two CL what book  
# 'Zhangsan didn't buy any one/two book(s).' #NPI  
'Zhangsan didn't buy one/two specific book(s).' SU  
Non-bare *shenme*  $\mapsto$  SU

- (18) Zhangsan mei mai \*yi/liang ben shu.  
Zhangsan NEG buy one/two CL book  
'Zhangsan didn't buy one/two book(s).'
- Only numeral classifiers under negation  
\*one CL vs. two CL

# Deriving NPI

Construction	Interpretation	Reason
bare <i>shenme</i>	0	NPI
# one CL	$\not\geq 1$	in competition with bare <i>shenme</i>
# one CL <i>shenme</i>	$\neq 1$	non-convex
two CL	$\not\geq 2$	
# two CL <i>shenme</i>	$\neq 2$	non-convex

## Definition (Intensional Negation [AD23, FB20])

$$\neg\phi \Leftrightarrow \forall w[\phi(v/w) \rightarrow v \neq w]$$

- ▶ Bare *shenme* under negation  $\mapsto$  NPI as in [AD23]
- ▶ Non-bare *shenme* under negation (given the maximality condition)  $\mapsto$  only nouns having the exact number of atoms in accordance with that of the numeral classifier are negated

# Illustration: bare *shenme*

$$\forall w(\exists_s x[P(x, w) \wedge \text{dep}(vw, x) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, w, P)] \rightarrow v \neq w)$$

v	w	x
$w_\emptyset$	$w_\emptyset$	$a$
$w_\emptyset$	$w_a$	$a$
$w_\emptyset$	$w_{ab}$	$a \oplus b$
$w_\emptyset$	$w_{abc}$	$a \oplus b \oplus c$

(a) Initial team  $T = \{w_\emptyset\}$

v	w	x
$w_a$	$w_\emptyset$	$a$
$w_a$	$w_a$	$a$
$w_a$	$w_{ab}$	$a \oplus b$
$w_a$	$w_{abc}$	$a \oplus b \oplus c$

(b) Initial team  $T = \{w_a\}$

v	w	x
$w_{ab}$	$w_\emptyset$	$a$
$w_{ab}$	$w_a$	$a$
$w_{ab}$	$w_{ab}$	$a \oplus b$
$w_{ab}$	$w_{abc}$	$a \oplus b \oplus c$

(c) Initial team  $T = \{w_{ab}\}$

v	w	x
$w_{abc}$	$w_\emptyset$	$a$
$w_{abc}$	$w_a$	$a$
$w_{abc}$	$w_{ab}$	$a \oplus b$
$w_{abc}$	$w_{abc}$	$a \oplus b \oplus c$

(d) Initial team  $T = \{w_{abc}\}$

Figure: Bare *shenme*: felicitous when initial team  $T = \{w_\emptyset\}$

## Illustration: two CL

$$\forall w(\exists_s x[P(x, w) \wedge dep(w, x) \wedge \#x = 2] \rightarrow v \neq w)$$

v	w	x
$w_\emptyset$	$w_\emptyset$	$a \oplus b$
$w_\emptyset$	$w_a$	$a \oplus b$
$w_\emptyset$	$w_{ab}$	$a \oplus b$
$w_\emptyset$	$w_{abc}$	$a \oplus b$

(a) Initial team  $T = \{w_\emptyset\}$

v	w	x
$w_{ab}$	$w_\emptyset$	$a \oplus b$
$w_{ab}$	$w_a$	$a \oplus b$
$w_{ab}$	$w_{ab}$	$a \oplus b$
$w_{ab}$	$w_{abc}$	$a \oplus b$

(c) Initial team  $T = \{w_{ab}\}$

v	w	x
$w_a$	$w_\emptyset$	$a \oplus b$
$w_a$	$w_a$	$a \oplus b$
$w_a$	$w_{ab}$	$a \oplus b$
$w_a$	$w_{abc}$	$a \oplus b$

(b) Initial team  $T = \{w_a\}$

v	w	x
$w_{abc}$	$w_\emptyset$	$a \oplus b$
$w_{abc}$	$w_a$	$a \oplus b$
$w_{abc}$	$w_{ab}$	$a \oplus b$
$w_{abc}$	$w_{abc}$	$a \oplus b$

(d) Initial team  $T = \{w_{abc}\}$

Figure: Two CL: felicitous when initial team  $T = \{w_\emptyset\}, \{w_a\}$



## Illustration: two CL *shenme*

$$\forall w(\exists_s x[P(x, w) \wedge dep(vw, x) \wedge var(\emptyset, x) \wedge max(x, w, P) \wedge \#x = 2] \rightarrow v \neq w)$$

$v$	$w$	$x$
$w_\emptyset$	$w_\emptyset$	$a$
$w_\emptyset$	$w_a$	$a$
$w_\emptyset$	$w_{ab}$	$a \oplus b$
$w_\emptyset$	$w_{abc}$	$a \oplus b \oplus c$

(a) Initial team  $T = \{w_\emptyset\}$

$v$	$w$	$x$
$w_{ab}$	$w_\emptyset$	$a$
$w_{ab}$	$w_a$	$a$
$w_{ab}$	$w_{ab}$	$a \oplus b$
$w_{ab}$	$w_{abc}$	$a \oplus b \oplus c$

(c) Initial team  $T = \{w_{ab}\}$

$v$	$w$	$x$
$w_a$	$w_\emptyset$	$a$
$w_a$	$w_a$	$a$
$w_a$	$w_{ab}$	$a \oplus b$
$w_a$	$w_{abc}$	$a \oplus b \oplus c$

(b) Initial team  $T = \{w_a\}$

$v$	$w$	$x$
$w_{abc}$	$w_\emptyset$	$a$
$w_{abc}$	$w_a$	$a$
$w_{abc}$	$w_{ab}$	$a \oplus b$
$w_{abc}$	$w_{abc}$	$a \oplus b \oplus c$

(d) Initial team  $T = \{w_{abc}\}$

Figure: Two CL *shenme*: felicitous when initial team  $T = \{w_\emptyset\}, \{w_a\}, \{w_{abc}\}$

# Questions

## Definition (Interrogative Extension)

$T[\exists_s \vec{x} \phi] = T'[\vec{f}_s / \vec{x}]$ , where  $T'$  is a maximal subset of  $T$  such that  $T'[\vec{f}_s / \vec{x}] \models \phi$  if there is such a unique  $\vec{f}_s$ , otherwise undefined.

## Definition (Partition)

The partition  $\text{PART}(\exists_s \vec{x} \phi, T)$  generated by an interrogative  $\exists_s \vec{x} \phi$  over the initial team  $T$  is an equivalence relation  $R$  over  $T$  such that for all  $i, j \in T$ ,  $R(i, j)$  iff

$$i \preceq T[\exists_s \vec{x} \phi]_{\vec{x}=\vec{d}} \Leftrightarrow j \preceq T[\exists_s \vec{x} \phi]_{\vec{x}=\vec{d}} \text{ for all } \vec{d},$$

where  $T_{\vec{x}=\vec{d}} = \{i \in T : i(\vec{x}) = \vec{d}\}$ .

$v$
$w_\emptyset$
$w_a$
$w_b$
$w_{ab}$

$v$	$x$
$w_a$	$a$
$w_b$	$b$
$w_{ab}$	$a \oplus b$

$v$	
$w_\emptyset$	
$w_a$	$a$
$w_b$	$b$
$w_{ab}$	$a \oplus b$

- (a) Initial team  $T$
- (b) Maximal subteam  $T'$  such that  $T'[\vec{f}_s / \vec{x}] \models C(x, v) \wedge \max(x, v, C)$  with a unique  $\vec{f}_s$

- (c) Partition

Figure: 'Who came?'  $\exists_s x [C(x, v) \wedge \max(x, v, C)]$

# Application: plain polar interrogative

- (19) a. Zhangsan mai-le Zhanzhengyuheping ma?  
 Zhangsan buy-PRF war.and.peace PART  
 'Did Zhangsan buy *War and Peace*?'  
 b.  $\exists_s P(b, v)$

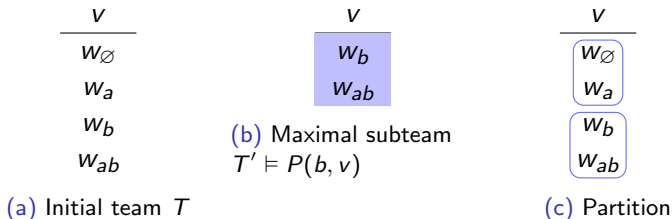


Figure: Plain polar interrogative

# Application: existential polar interrogative using *shenme*

- (20) a. Zhangsan mai-le *shenme* shu ma?  
 Zhangsan buy-PRF what book PART  
 'Did Zhangsan buy book(s)?'
- b.  $\exists_s [\exists_s x [P(x, v) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, v, P)]]$

$v$	$v$	$x$	$v$	$x$
$w_\emptyset$	$w_a$	$a$	$w_\emptyset$	
$w_a$	$w_b$	$b$	$w_a$	$a$
$w_b$	$w_{ab}$	$a \oplus b$	$w_b$	$b$
$w_{ab}$			$w_{ab}$	$a \oplus b$

(a) Initial team  $T$

(b) Maximal subteam  
 $T' \models \exists_s x [P(x, v) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, v, P)]$

(c) Partition

Figure: Existential polar interrogative

# Application: *wh*-interrogative using *shenme*

- (21) a. Zhangsan mai-le *shenme* shu?  
 Zhangsan buy-PRF what book  
 'What book(s) did Zhangsan buy?'  
 b.  $\exists_s x [P(x, v) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, v, P)]$

$v$	$v$	$x$	$v$	$x$
$w_\emptyset$	$w_a$	$a$	$w_\emptyset$	
$w_a$	$w_b$	$b$	$w_a$	$a$
$w_b$	$w_{ab}$	$a \oplus b$	$w_b$	$b$
$w_{ab}$			$w_{ab}$	$a \oplus b$

(a) Initial team  $T$

(b) Maximal subteam  $T'$  such that  $T'[\vec{f}_s/\vec{x}] \models P(x, v) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, v, P)$

(c) Partition

Figure: *Wh*-interrogative with bare *shenme*

# Questions: decomposing forms

Form	Type
$P(a, v)$	plain declarative
$\exists_s x [P(x, v) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, v, P)]$	declarative with <i>wh</i> -indefinites <i>wh</i> -interrogative
$\exists_s P(a, v)$	plain (polar) interrogative
$\exists_s [\exists_s x [P(x, v) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, v, P)]]$	existential polar interrogative

- ▶  $\exists_s \vec{x} = \exists_s x_1, \dots, x_n$ , where:
  - ▶ Plain declaratives:  $\phi$  without  $\exists_s \vec{x}$
  - ▶ Plain/polar interrogatives:  $\exists_s \vec{x} \phi$  with  $n = 0 \mapsto \exists_s \phi$
  - ▶  $\exists_s \vec{x} \phi$  with  $n \neq 0$ : either declaratives or interrogatives depending on the context, namely, sentences with *shenme*
- ▶ Or, in terms of support in a context  $C = (T, I)$ :
  - ▶ Plain declaratives: for all  $C$ ,  $C \not\models \phi_{int}$
  - ▶ Plain/polar interrogatives: for all  $C$ ,  $C \not\models \phi_{decl}$
  - ▶ Mixed type of sentences: there are  $C, C'$  such that  $C \models \phi_{decl}$  and  $C' \models \phi_{int}$

## Dual use of *shenme*: declarative & *wh*-interrogative

- (22) a. Zhangsan mai-le *shenme* shu  
 Zhangsan buy-PRF what book  
 'Zhangsan bought book(s) (I don't know which).'  
 'What book(s) did Zhangsan buy?'
- b.  $\exists_s x [P(x, v) \wedge \text{var}(\emptyset, x) \wedge \text{max}(x, v, P)]$

$v$	$x$
$w_a$	$a$
$w_b$	$b$
$w_{ab}$	$a \oplus b$

(a) Context supporting  
declarative

$v$	$x$
$w_{\emptyset}$	
$w_a$	$a$
$w_b$	$b$
$w_{ab}$	$a \oplus b$

(b) Context supporting  
*wh*-interrogative

Figure: Declarative vs. *wh*-interrogative using bare *shenme*

# Conclusion

- ▶ *Shenme* is a strict existential with additionally the conditions of variation and maximality
- ▶ Deriving a uniform account for *shenme* to be used as an EI in declaratives and as a question word in interrogatives
- ▶ Future work for cross-linguistic comparison: if the maximality condition can be generalized to *wh*-indefinites in other languages



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